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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Gillies *et al.*

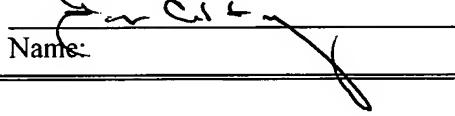
SERIAL NO.: 10/005,212 GROUP NO.: 1646

FILING DATE: December 4, 2001 EXAMINER: P. M. Mertz

TITLE: HETERODIMERIC FUSION PROTEINS USEFUL FOR TARGETED  
IMMUNE THERAPY AND GENERAL IMMUNE STIMULATION

**CERTIFICATE OF HAND DELIVERY**

I hereby certify that the following correspondence is being hand delivered to U.S. Patent and Trademark Office, Washington D.C. 20231 on this 10 day of March, 2003.

  
Name:

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith are:

1. Transmittal Form (1 page);
2. Information Disclosure Statement (2 pages);
3. Form PTO-1449 (15 pages);
4. References Labeled A1-A50, B1-B81, C1-C139;
5. and a return receipt postcard.

# TRANSMITTAL FORM

	Application Serial No.:	10/005,212	<b>RECEIVED</b>
	Filing Date:	December 4, 2001	
	First Named Inventor	Gillies	TECH CENTER 1500/2900 03 MAR 10 AM 9:04
	Group Art Unit	1646	
	Examiner Name	P. M. Mertz	
	Attorney Docket No.	LEX-002C1	

ENCLOSURES (check all that apply)			
<input type="checkbox"/> Fee Transmittal Form <ul style="list-style-type: none"> <li><input type="checkbox"/> Check Attached</li> <li><input type="checkbox"/> Copy of Fee Transmittal Form</li> </ul>	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)	<input type="checkbox"/> Appeal Communication to Board of Patent Appeals and Interferences	
<input type="checkbox"/> Amendment/Response <ul style="list-style-type: none"> <li><input type="checkbox"/> After Final</li> <li><input type="checkbox"/> Affidavits/declaration(s)</li> <li><input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____]</li> </ul>	<input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> )	
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter	
<input checked="" type="checkbox"/> Information Disclosure Statement PTO- 1449	<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)	<input checked="" type="checkbox"/> Return Receipt Postcard	
<input checked="" type="checkbox"/> Copy of IDS Citations A1-A50, B1-B81, C1-C139	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Certificate of Hand Delivery	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application	<input type="checkbox"/> Additional Enclosure(s) ( <i>please identify below</i> )	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<input type="checkbox"/> Small Entity Statement		
<input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group		

<b>CORRESPONDENCE ADDRESS</b>	<b>SIGNATURE BLOCK</b>
Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100	Respectfully submitted,  <hr/> Patrick R.H. Waller Attorney for Applicants Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110

# 8  
Dmt  
3-11-03

PATENT  
Attorney Docket No. LEX-002C1

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IMMUNE THERAPY AND GENERAL IMMUNE STIMULATION

Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicant hereby makes of record the patents and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and references are enclosed.

In addition, Applicants notify the Examiner of U.S. Serial No. 09/634,368, filed August 9, 2000.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- the requisite Statement is below, OR
- the requisite fee under 37 C.F.R. 1.17(p), namely \$180.00, is included herein, or

Information Disclosure Statement

Atty Docket No. LEX-002C1

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- (3) after the mailing date of a **final action or notice of allowance** but before the payment of the **issue fee**, AND
- the requisite Statement is below, AND
- the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

Respectfully submitted,



---

Patrick R.H. Waller  
Attorney for Applicant  
Testa, Hurwitz & Thibeault, LLP  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

Date: March 6, 2003

Reg. No. 41,418

Tel. No.: (617) 248-7240

Fax No.: (617) 248-7100

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